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## *List of securities examinations - Wikipedia*

Series 7. General Securities Representative Exam. The  
Series 7 Exam (Series 7 Top-Off Exam) is the FINRA  
(Financial Industry Regulatory Authority) exam required by  
most broker-dealers for their registered representatives. Co-  
requisites: Securities Industry Essentials (SIE) Exam and  
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To take the Series 7 exam, you must be sponsored by a FINRA member firm or a self-regulatory organization (SRO). Firms apply for candidates to take the exam by filing a Uniform Application for Security Industry Registration or Transfer (Form U4). There is also an exam fee that is commonly covered by the sponsoring firm.

*How to Get a Series 7 License | Series 7 Exam Info ...*

The Series 7 exam, also known as the General Securities Representative Exam, is a test for entry-level registered representatives. The Financial Industry Regulatory Authority administers the exam. In the United States, financial professionals take this test to become qualified to purchase and/or sell security products such as: corporate securities, municipal fund securities, options, direct participation programs, investment company products and variable contracts. The prerequisite is the Securi

*Series 7 exam - Wikipedia*

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The Series 7 exam is difficult and consists of 125 multiple-

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choice questions that must be completed in 225 minutes. It must be combined with a separate Securities Industry Essentials Exam, which consists of 75 questions and lasts 105 minutes. 7 ? 8 ?

## *What a Stockbroker Does and How to Become One*

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The registration fee in Ohio is \$60, which is paid to the Ohio Division of Securities through the CRD by your broker-dealer. You are able to apply to take the Series 7, General Securities Representative Examination after your employing firm receives approval of your registration through the CRD system.

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